

DETAILED INFORMATION REGARDING THE CHANGE IN PORTAL SECTION:

Risk Data Call-Transactions

Note that the Field ***“Total Gross revenue from Specified Activities”*** is now referenced to the ***“Professional Fees”*** entered in the Risk Data Call-Transactions section.

In other words, the **TOTAL** of ***“Professional Fees”*** entered in the “Risk Data Calls-Transactions” must match the **TOTAL** previously entered in the Financial Information section ***“Total Gross revenue from Specified Activities.”*** If the totals do NOT match, the data will not be accepted.

PRO TIP: Calculate the Professional Fees for this section ahead of time:

Risk Data Call-Transactions

1. Purchase and sales of real estate
2. Transactions where the real-estate is held by trustees
3. Managing of financial assets (money, bank accounts, securities, etc.) - excluding liquidations
4. Organizing or managing contributions for creation, operation or management of legal entities
5. Creation, operation, and management of legal entities
6. Purchase and sale of legal entities
7. Statutory audits (this data is not used for the Risk Matrix)
8. Transactional audits (this data is not used for the Risk Matrix)
9. Acting as registered agent for legal entities
10. Acting as nominee shareholder
11. Acting as nominee director
12. Acting as custodian of assets
13. Acting as escrow agent (for real estate and any other purpose)
14. Cash transactions

The TOTAL of “Professional Fees” above must be the same as the TOTAL entered in the “Financial Information” section “Total Gross revenue from Specified Activities.”

Financial information

The purpose of this section is to create a baseline for ratios measuring the importance and concentration of "specified activities" to your Business and Operations, together with enabling comparisons between firms in your sector for statistical and information verification purposes. All financial information provided for confidential and statistical comparisons are made on the basis of anonymous aggregated data only.

Please indicate BM\$ values for each of the following.

Total assets *

BM\$ 0

Total gross revenue (last financial year) *

BM\$ 0

Total gross revenue from specified activities *

BM\$ 0

Total value of client financial assets managed or controlled by the firm (as at start date) *

BM\$ 0

Total value of client "non-financial" assets managed or controlled by the firm *

BM\$ 0

Total value of all transactions related to specified activities conducted on behalf of clients *

BM\$ 0

Risk data call - Transactions

Real estate

Purchase and sales of real estate

No of customers *

No of transactions *

Professional fees *

BM\$ 0

Transactions where the real-estate is held by trustees

No of customers *

No of transactions *

Professional fees *

BM\$ 0

Legal entities

Managing of financial assets (money, bank accounts, securities, etc.) - excluding liquidations

No of customers *

No of transactions *

Professional fees *

BM\$ 0

Organizing or managing contributions for creation, operation or management of legal entities

No of customers *

No of transactions *

Professional fees *

BM\$ 0

Creation, operation and management of legal entities

General information
Structure & operations
Head office
Ownership & control
Subsidiaries & affiliates
Organisational chart
Influence & control
Fit & proper persons
Business & administration
Nature of business
Practice areas
Teams
Product types
Client Transactions
Upload data
Review data
CFO approval
Financial information
Supporting documents
Risk data call
Customers
• Transactions
Geographic
Channels of delivery
Suspicious activity
Preventative Measures
Declaration
Payment

This TOTAL should be = to the TOTAL of all "Professional Fees" over here.....